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Applicant : Wolfgang Werr  
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For : A METHOD FOR INHIBITING THE EXPRESSION OF TARGET GENES

CLAIM FOR PRIORITY

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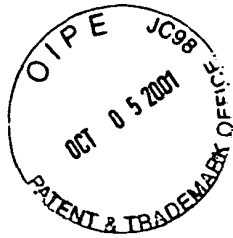
Sir:

A claim for priority is hereby made under the provisions of 35 U.S.C. § 119 for the above-identified U.S. patent application based upon European patent application No 99420030.1

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Respectfully submitted,

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Patentanmeldung Nr. Patent application No. Demande de brevet n°

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## PRIORITY DOCUMENT

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A method for inhibiting the expression of target genes in plants

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The present invention relates to a method for inhibiting the expression of target genes in plants and to DNA constructs used to implement this method.

In the transformation of plants, to alter the structure and/or the function of whole plants, it may be desirable to block the expression of genes. This may be effected by altering either the sequence of the gene itself or of the transcriptional factor that controls its transcription. For that purpose the antisense technology, as described for example in the US patent 4,943,674, may be used. Site-directed mutagenesis may alternatively be used. It has for example been proposed to use in vitro altered transcriptional activators encoding proteins that bind to one or more components of the transcription complex in such a way that they competitively inhibit expression of target gene or genes in plants (EP 0 475 584). Co-suppression system may also be used to block expression of specific genes (Flavell R.B., 1994).

However these technologies require an accurate knowledge of the structure of the gene or of the transcriptional factor (namely of the DNA binding and transactivator domains) to allow the antisense sequence to specifically hybridize with the gene sequence, or to allow the mutation to only affect some properties of the protein (DNA binding or activator domain) respectively.

Other limitations of these methods are well known by one skilled in the art : in the case of redundancy in genes and/or functions, other related genes, non altered by these targeted technologies, conceal the expression of the target gene. As a result the observed phenotype is wild-type instead of mutant as expected. Furthermore these techniques make the isolation of new genes, or the determination of new functions difficult, sometimes impossible.

The authors of the present invention now propose an alternative approach for blocking the expression of genes in plants which meets the above requirements and presents other advantages. The method of the invention distinguishes from previous technologies in that this is, as further described, a dominant approach with high penetrance. This method is independent from any DNA sequence homology with the targeted gene, which is crucial for antisense

and cosuppression approaches, and moreover circumvents the problem of redundancy in genes and/or functions.

The method of the invention involves the use of a chimeric DNA construct comprising at least one repressor sequence in transcriptional fusion with at least one plant-specific sequence that codes for a protein or fragment thereof that bind to DNA or that activates transcription either by binding to DNA itself or by interacting with a DNA-binding protein ;

said repressor sequence being operably linked to elements allowing the transcription of said fused sequences.

The term "plant-specific sequence" means a sequence that originates from plants or has been modified (or shown) to function in plants, i.e. to actually bind to DNA in plants or to activate transcription either by binding to DNA itself or by interacting with a DNA-binding protein.

It is understood that the repressor sequence of said chimeric DNA construct preferably does not contain a sequence that codes for a second other DNA-binding domain, so that no undesirable interaction could occur.

In a preferred embodiment, said repressor sequence is at least the repressor domain of the *Drosophila engrailed* gene (*eng*).

The product of the *Drosophila engrailed* (*eng*) locus was shown to be involved in the regulatory interactions that govern early embryogenesis (Poole et al, 1985). Eng is a homeodomain-containing transcription factor that is required for cell fate specification through out development of the fly. The Eng protein was shown to be an active repressor in *Drosophila* (John et al, 1995) and cultured animal cells (Han & Mantley, 1993). In 1996, Conlon et al use an approach in which the DNA-binding domain of a transcription activator is fused to the *engrailed* repressor domain to assist in the analysis of *Xenopus* and *Zebrafish* transcription factors (Conlon et al, (1996)). But no stable transformation has been carried out until now in plants, in order to obtain transgenic plants with heritable mutant phenotype.

According to the present invention, the term "repression domain of the *Drosophila engrailed* gene (*eng*)" means a fragment of the *Drosophila*

*engrailed* gene or of a derivative sequence thereof, said fragment comprising a nucleotide sequence that encodes a polypeptide interfering with the general transcription machinery and transcriptional activators. Said fragment advantageously comprises a minimal repression sequence coding for a polypeptide of 55 residues (Poole et al, 1985, Han K. et al, 1993). The whole *engrailed* gene may also be used but most preferably without its homeodomain.

"A derivative sequence" is understood as meaning a sequence which differs from the sequence of the *Drosophila engrailed* gene by mutation, insertion, deletion or substitution of one or more bases, or by the degeneracy of the genetic code so long as it codes for a polypeptide which is substantially the same as the Engrailed product.

Engrailed related domains, which share the same repression activity, may also be used, such as those described in Smith et al, 1996.

In another embodiment, the repressor sequence that is used may be a sequence that codes for at least the Kruppel-associated box-A (KRAB-A) domain of zinc finger proteins (Witzgall et al, 1994) for at least the RE-1-silencing transcription factor (REST) (Thiel et al, 1998), or for at least the BTB (for Broad-complex Tramtrac and Bric) domain, also known as POZ-domain (Ahmad et al, 1998 ; Hyunh et al, 1998).

Chimeric DNA constructs further comprising corepressor sequences associated with said repressor sequence are also encompassed. Among the corepressors of interest, one may cite KAP-1 (Friedman et al, 1996), groucho (Tolkunova et al, 1998), or KOX-1 (Moosmann et al, 1997), or N-Cor and SMRT (Huynd and Bardwell, 1998).

In one embodiment, said repressor sequence is fused to a plant-specific sequence that encodes a DNA-binding protein domain. The term "DNA binding protein domain" refers to a protein fragment able to bind to DNA but also refers to the whole protein that contains such a DNA-binding domain.

In a preferred embodiment, a plant transcription factor, or a DNA-binding fragment thereof, is used as the DNA-binding domain containing

protein. A complete transcription factor is preferably used, as it preserves interactions between proteins involved in regulation of transcription.

In an alternative embodiment, the repressor sequence is fused to a sequence that codes for a protein that activates transcription by binding to a DNA-binding protein such as a transcription factor. Such proteins may either increase the affinity of DNA-binding proteins to their target sites or mediate signalling to the transcriptional initiation complex and are generally called coactivators.

In the preferred embodiment wherein the DNA-binding domain containing protein is a plant transcription factor, said transcription factor may preferably be selected from the group consisting of :

- STM ("Shootmeristemless"), member of the Knotted class of homeodomain proteins, which is an essential gene for development and function of the shoot apical meristem ;

- AP3 - member of the MADS box proteins, which is a floral organ identity B function gene essential for the development of petals and stamen ;

- ZmHox - member of the homeobox proteins, more particularly ZmHox 1a/1b and 2a/2b, which are expressed in maize meristems and proliferating cells from the early embryo to late reproductive organs. This expression pattern suggests a contribution to plant growth and morphogenesis;

- Ms-41-A and Zm-41-A. These two factors are associated with male fertility. Recent results ascertain that Ms41-A protein from *Arabidopsis* is a transcription factor belonging the family recently described as ARF1 family for Auxin Response Factor 1 (Ulmasov et al., 1997). Ms41-A analogues may also be used, such as those described in WO 97/23618 or the *Arabidopsis* gene Monopteros which encodes a transcription factor mediating embryo axis formation and vascular development (Hardtke et al., 1998), and the *Arabidopsis* gene ETTIN involved in floral development (Sessions, 1997).

The plants transformed with a chimeric DNA construct of the invention comprising such Ms-41-A factor are expected to be male sterile.

In one embodiment, the chimeric DNA construct of the invention may further comprise a sequence that encodes at least the hormone-binding domain of a steroid hormone receptor, said sequence being in frame with the fusion construct consisting of at least one repressor sequence in transcriptional fusion with at least one plant-specific sequence that codes for a protein or fragment thereof that activates transcription either by binding to DNA itself or by interacting with a DNA-binding protein ;

whereby the nuclear localization of the chimeric protein, that is the product of the translation of said fusion construct is dependent on the application of said steroid hormone or analogues thereof.

The steroid hormone receptor that is used may be for example the glycocorticoid receptor (GR). In that case, dexamethasone may be used to induce the nuclear translocation of the chimeric protein.

The repression domain of the *Drosophila engrailed* gene (*eng*) is operably linked to elements allowing its expression. Such elements may more particularly comprise a promoter and polyadenylation signals.

In one embodiment, the promoter that is used is a constitutive promoter.

In another embodiment, the promoter that is used is a tissue-specific promoter or a developmentally regulated promoter. This allows a conditional loss of functions that may be desired, for example to design new plant varieties.

In still other embodiments, the promoter that is used is an inducible promoter.

The polyadenylation that can be used may be for example the 35S polyA terminator of cauliflower mosaic virus (CaMV), as disclosed in Franck et al (1980) and NOS polyA terminator, that corresponds to the non coding 3' region of nopaline synthase of Ti plasmid of *Agrobacterium tumefaciens* (Depicker et al, (1982)).

Among the preferred promoters that can be used, one can cite for example :

**a) constitutive promoters :**

- 35S promoter, or advantageously the double 35S constitutive promoter of CaMv as described in Kay et al, (1987) ;

- rice actin promoter followed by the rice actin intron (PAR-IAR) included in plasmid pAct1-F4 as described in Mc Elroy et al, (1991) ;

5 - the constitutive promoter EF-1 $\alpha$  of the gene encoding for plant elongation factor described in WO 90/02172 or in Axelos et al. 1989 ;

- chimeric superpromoter PSP (Ni M et al, (1995)) constituted by the fusion of a triple repeat of the transcriptional activity element from the promoter of the gene of *Agrobacterium tumefaciens* octopin syntase, the transcriptional activating element of the promoter of the gene  
10 *Agrobacterium tumefaciens* mannopin synthase ; and

- ubiquitin promoter from sunflower (Binet et al, (1991)).

**b) specific promoters :**

15 • *seed-specific promoters* :

- PCRU promoter of radish cruciferin gene allowing the expression specifically in seeds, as described in Depigny-This et al, (1992) ;

- HMWG promoter (High Molecular Weight Glutenin) from barley (Anderson et al, (1989)) ;

20 - the promoter of maize  $\gamma$ zein (P $\gamma$ zein) included in p $\gamma$ 63 plasmid in Reina et al, (1990) allowing the expression in albumen of maize seeds ;

- PGEA1 and PGEA6 promoters corresponding to the non coding 5' region of the genes GEA1 and GEA6, expressed in the grains in  
25 *Arabidopsis thaliana* (Gaubier et al, (1993)) ; and

-  $\beta$ -phaseolin promoter (Riggs et al, 1989).

• *specific promoters which drive expression in particular plant tissues which are involved in the control of fertility* :

Among the promoters of interest one may cite

30 the Brassicaceae A3 or A9 promoter described in WO 92 11379, the A6 promoter described in WO 93 02197, or TA29, TA26, TA13 promoters described in WO 89 10396 ;

- the Ms41-A anther-specific promoter described in WO 97/23618, which may also be used in male sterility systems ;

- a dehiscence-zone specific promoter such as the one described in EP 692 030.

5

**c) inducible promoters :**

- a promoter inducible in stress conditions, for example heat shock, wound or interaction with pathogens (Kuhlemeier et al., 1987, WO 94/21793) ; and

10

- an ethanol-inducible promoter (Salter et al, 1998) ; and

- the PR1a promoter inducible by salicylic acid for example (US 5,689,044).

15

The DNA construct of the invention is advantageously inserted in a vector, e.g. a plasmid, for use in plant cell transformation.

The transformation of plant cells may be effected by transferring the above vectors in protoplasts, in particular after incubating those protoplasts in a solution of polyethyleneglycol (PEG) in the presence of divalent cations ( $\text{Ca}^{2+}$ ) as described in Krens et al, (1982).

20

The transformation of plant cells may also be effected by electroporation as described in Fromm et al, (1986).

A gene gun may also be used allowing the projection of metal particles coated with a DNA construct of the invention, whereby genes are delivered into cell nucleus, (Sanford et al, (1988)).

25

Another method for transforming plant cells is cytoplasmic or nuclear micro-injection.

In a preferred embodiment, plant cells are transformed with a DNA construct of the invention, by means of a host cell infecting said plant cells. A further subject of the present invention is thus a host cell transformed with a chimeric DNA construct as previously described. Advantageously, the above host cell is *Agrobacterium tumefaciens*, as used in particular in the

30

methods of Bevan, (1984) and An, (1986), or *Agrobacterium rhizogenes*, in particular as used in the method of Jouanin et al, (1987).

Plant cell transformation is preferably effected by transferring the *Agrobacterium tumefaciens* T region of an extra-chromosomal circular plasmid that induces tumors (Ti) e.g by using a binary system.

For that purpose, two vectors are constructed. In one of these vectors, the T-DNA region is removed by deletion except for the right and left borders, a marker gene being inserted between the two borders to allow the selection in plant cells. The other partner of the binary system is a helper Ti plasmid which is a modified plasmid that has no T-DNA longer but still contains the virulence genes *vir*, necessary for transforming a plant cell. This plasmid is maintained in *Agrobacterium*.

The present invention also provides a transgenic plant or parts thereof, said plant being transformed with a DNA construct of the invention, or deriving from a plant initially transformed with a DNA construct of the invention. Such transgenic plants exhibit heritable phenotypes.

The term "deriving" refers to plants of the following generations, as long as the parent plant is fertile.

The term "parts" of transgenic plants refer in particular to leaves, fruits, seeds, roots or cells that have been genetically transformed.

The preferred plants that are used for transformation may be for example selected from the group consisting of *Arabidopsis thaliana*, rice, tobacco, maize, Brassica, wheat, tomato and flowers (*Petunia*, rose, carnation).

The present invention thus provides a method for obtaining a transgenic plant, wherein a DNA construct of the invention is transferred and expressed in a plant cell and said cell is cultured under conditions for regenerating a whole transgenic plant.

The conditions for regenerating a whole plant from a plant cell are well-known by one skilled in the art.

A chimeric DNA construct of the invention may be used for inhibiting the expression of a target gene in the genome of a plant, the



transcription of which involves a DNA-binding protein domain as previously defined.

Inhibiting the expression of a target gene in the genome of a plant may be desired in many purposes. Many transcription factors known by the man skilled in the art play a role in the control of metabolic pathways (starch, lipids, amino acids...) or are involved in the plant development, or in the plant sensibility to pathogen. For example, blocking a gene whose expression is necessary for pollen or another formation (e.g. the Ms-41-A transcription factor, as above described) produces male sterility. Blocking the gene controlled by the AP-3 transcription factor also leads to male sterility. As another example, blocking the gene which codes for the enzyme which catalyses the conversion of sugars to starch can be used to produced sweet corn (see EP 475 584).

It is also possible to obtain transgenic plants with enriched content in lysine by using, according to the invention, the opaque 2 transcription factor (Schmidt et al., (1990)), involved in the control of the expression of certain zeins. One could further use Myb-related transcription factors involved in the control of anthocyanin biosynthesis in flowers (Martin et al., (1991) ; Matin, (1997)), to modify their colour. Use of other members of Myb-related transcription factors playing a role in the regulation of phenylpropanoid and lignin biosynthesis (Tamagnone et al., (1998,)) could also be interesting. Some others could be involved in cellular development and senescence.

The chimeric DNA construct of the invention can be used to produce phenocopies of loss of function mutants in genes involved in transcriptional control, by reversing the biological function from activation to repression and by providing chimeric fusion proteins in excess over the endogenous function.

Phenocopy is an artificial (transgenic) situation mimicking a mutant phenotype. The term phenocopy is used by Smith et al, (1996), referring to the original description of John et al (1995), describing a Ftz loss of function phenotype mimicked by the Eng Ftz chimeric protein.

The phenocopy caused by the expression of the chimeric fusion protein allows to associate a biological function to a given transcription factor or gene involved in transcriptional control.

5 A chimeric DNA construct of the invention may more particularly be used in a method for determining the function of a transcription factor in plants, comprising the steps of :

- i) fusing a sequence encoding said transcription factor to a repressor sequence to form a DNA construct as previously defined;
- ii) transforming plant cells with said DNA construct;
- 10 iii) culturing the plants obtained from the transformed cells and observing a phenocopy of a mutation correlated with the loss of expression of genes controlled by said transcription factor.

15 The chimeric DNA construct of the invention allows the production of a cDNA library, said library being useful to isolate new genes controlled by the transcriptional activator as above described.

The present invention thus encompasses a method for identifying new genes in plants comprising the steps of :

- 20 i) obtaining transgenic plants transformed with a chimeric DNA construct of the invention ;
- ii) comparing the RNA population from said transgenic plants with the RNA population of a plant that has not been transformed with a chimeric DNA construct of the invention, by amplifying (for example by Polymerase Chain Reaction in a differential display approach (Liang et al, 1993)) the RNAs
- 25 repressed by expression of the chimeric DNA construct, identified as genes inactive in said transgenic plants but active in the plant that has not been transformed with a chimeric DNA construct of the invention.

30 The plants to be compared should genotypically be as identical as possible. Most preferably, the plants that have not been transformed with a chimeric DNA construct of the invention are transgenic plants transformed with a blank vector.

In a preferred embodiment, the method for identifying new genes in plants comprises the steps of :

- 5 i) obtaining transgenic plants transformed with a chimeric DNA construct comprising a sequence that encodes at least the hormone-binding domain of a steroid hormone receptor and/or comprising an inducible promoter;
- ii) submitting said transgenic plants to an induction by means of a steroid hormone or analogues thereof and/or promoter inducer, whereby a phenocopy is created due to the loss of expression of target genes;
- 10 iii) comparing the RNA populations from said transgenic plants before and shortly after induction, by amplifying (for example by Polymerase Chain Reaction in a differential display approach (Liang et al, 1993)) the RNAs repressed by expression of the chimeric DNA construct, identified as genes active before but inactive after induction.

15 In a preferred embodiment, a differential display approach associated with the AFLP technique described by Vos et al. (1995), is used.

The preferred embodiment of the method of the invention wherein an inducible system is used advantageously allows to identify direct target genes controlled by the transcriptional factor, at any time during the plant development.

20

The creation of phenocopies by means of a chimeric DNA construct of the invention presents several other advantages :

Phenocopies are hardly sensitive to genetic redundancy. In contrast to mutagenesis approaches like gene machines where knock outs of single genes only give phenotypes in non-redundant situations, the phenocopy approach is expected to be informative in redundant situations. This may be important for species which are not or not truly diploid (e.g. wheat or maize respectively). Also in many Brassica crops the genome is triplicated relative to Arabidopsis.

25

30

The advantage in redundant situations is related to the fact that the phenocopy is caused by the chimeric protein making the method independent from DNA sequence homology, crucial for antisense and

cosuppression approaches, which focuses effects to single or few genes (depending on the degree of sequence similarity). The newly created chimeric repressor protein may perform and compete with all kinds of interactions and function related to the transcription factor fused with the repressor. It thus uses  
5 functional protein domains and redundant protein functions that partly or fully compensate for the loss of a single gene product (reduced by antisense or cosuppression) therefore should be also effected by the chimeric repressor protein.

10 Phenocopies support results obtained in gene machines, where many additional elements in the genome frequently interfere with the conclusive association of a phenotype to a specific insertion.

The phenocopy approach is also helpful in transferring information between species, e.g. from Arabidopsis to Brassica crops or  
15 between grass crops (rice, maize, wheat, barley) and in comparing regulatory networks between species. By this way, it is possible to detect similarities and differences between groups of genes regulated by the same transcription factor within several species.

The high penetrance (80 %) and dominance of phenocopies in  
20 primary transformants distinguishes this method from lower frequency antisense and cosuppression approaches, where a few individual progeny are selected.

The authors of the present invention have further discovered that the repressor sequence as previously described could be also advantageously  
25 used in fusion with bacterial sequences encoding functional DNA-binding domains or proteins that have been shown to bind to DNA target sequences in plants, like Tet (Gatz et al, 1991) or Lac (Wilde et al, 1992) repressors.

The Tet and Lac DNA-binding proteins are part of the bacterial repressor systems described in Schollmeir and Gatz, 1984 and Miller and  
30 Reznikoff, 1980, respectively.

The bacterial Tet and Lac proteins have been shown to bind to specific DNA motifs, in the absence of tetracycline and IPTG

(isopropylthiogalactoside) respectively, and to be released from the DNA in the presence of tetracycline and IPTG respectively.

However these two bacterial repressors were shown to be poor repressors in plants.

5           The authors of the present invention propose that the combination of a repressor domain as above described with the inducible DNA binding activity of the bacterial Tet or Lac repressor creates an inducible and efficient repressor function in plants.

10           The authors of the present invention have more particularly obtained transgenic plants by inserting the Tet operator sequence into the CaMV 35S promoter. It is known that the bacterial Tet DNA binding protein is still able to bind to these operator sequences (Gatz, 1991) in the absence of tetracycline and to be released from the DNA in the presence of tetracycline. The authors of the invention have fused the repressor sequence as previously  
15           described (e.g coding sequence of the ENG repressor domain) to the bacterial Tet repressor to combine the eukaryotic transcriptional repressor domain with the inducible DNA binding activity of the bacterial Tet repressor.

20           Another subject of the invention is thus a chimeric DNA construct comprising at least one repressor sequence in transcriptional fusion with at least one bacterial sequence that codes for a Tet or Lac DNA-binding protein ; said repressor sequence being operably linked to elements allowing the transcription of said fused sequences.

25           This chimeric DNA construct can be used in a method for "switching off/on" a target gene, i.e. a method for blocking or allowing the expression of a target gene.

A subject of the invention is more particularly a method for blocking or allowing the expression of a target gene comprising the steps of :

- 30           i) obtaining a transgenic plant containing  
          a) at least one DNA motif in the promoter of a target gene, said DNA motif being specifically recognized by a bacterial Tet or Lac DNA-binding protein or DNA-binding fragment thereof ; and

b) a chimeric DNA construct comprising at least one repressor sequence in transcriptional fusion with at least one bacterial sequence that codes for a Tet or Lac DNA-binding protein as above defined ;

5 ii) submitting the plant obtained in step i) or a transgenic plant deriving from said plant with tetracycline or IPTG, whereby the expression of the target gene is allowed ; or culturing said plant in the absence of tetracycline or IPTG, whereby the expression of the target gene is blocked.

In a preferred embodiment, the obtention of the transgenic plant in  
10 i) is effected by :

1) transforming a plant cell with a DNA construct comprising the sequence of a target gene operably linked to a promoter wherein a DNA motif specifically recognized by the Tet or Lac DNA-binding protein or DNA-binding fragment thereof has been inserted ;

15 2) culturing said transformed plant cell to regenerate a whole transgenic plant ;

3) transforming another plant cell with a chimeric DNA construct comprising at least one repressor sequence in transcriptional fusion with at least one bacterial sequence that codes for a Tet or Lac DNA-binding protein  
20 as above defined ;

4) culturing said transformed plant cell obtained in step 3) to regenerate a whole transgenic plant ;

5) crossing the transgenic plant obtained in step 2) with a transgenic plant obtained in step 4) and selecting the transgenic plants which  
25 contain in their genome a) at least one DNA motif in the promoter of a target gene, said DNA motif being specifically recognized by a bacterial Tet or Lac DNA-binding protein or DNA-binding fragment thereof and b) a chimeric DNA construct comprising at least one repressor sequence in transcriptional fusion with at least one bacterial sequence that codes for a Tet or Lac DNA-binding  
30 protein, as above defined.

In an alternative embodiment, the obtention of the transgenic plant in i) can be performed by either :

1) transforming a plant cell with a DNA construct comprising the sequence of a target gene operably linked to a promoter wherein a DNA motif specifically recognized by the Tet or Lac DNA-binding protein or DNA-binding fragment thereof has been inserted ;

5           2) culturing said transformed plant cell to regenerate a whole transgenic plant ;

3) transforming a plant cell from said transgenic plant obtained in step 2) with a chimeric DNA construct comprising at least one repressor sequence in transcriptional fusion with at least one bacterial sequence that codes for a Tet or Lac DNA-binding protein ;

10           4) culturing said transformed plant cell obtained in step 3) to regenerate a whole transgenic plant ;

or

1' ) transforming a plant cell with a DNA construct comprising at least one repressor sequence in transcriptional fusion with at least one bacterial sequence that codes for a Tet or Lac DNA-binding protein ;

2' ) culturing said transformed plant cell to regenerate a whole transgenic plant ;

3' ) transforming a plant cell from said transgenic plant obtained in step 2' ) with a DNA construct comprising the sequence of a target gene operably linked to a promoter wherein a DNA motif specifically recognized by the Tet or Lac DNA-binding protein or DNA-binding fragment thereof has been inserted ;

4' ) culturing said transformed plant cell obtained in step 3) to regenerate a whole transgenic plant.

The below examples and figures illustrate the invention without limiting its scope in any way.

## LEGENDS OF FIGURES

Figure 1 represents a top view into the shoot apical meristem of young wild-type *Arabidopsis* seedlings at the same age. This wild-type seedling in addition to both cotyledones has developed four elaborated leaves plus two additional leaf primordia in the center covering the functional shoot apical meristem.

Figure 2 represents a top view into the shoot apical meristem of *Arabidopsis* phenocopy eng-STM of the same age as in figure 1. This phenocopy has two horizontal cotyledones and a single leaf in vertical position. A functional shoot apical meristem is missing and has presumably been consumed by initiation of the single leaf primordium.

Figure 3 represents a flower of wild-type *Arabidopsis thaliana* with outermost sepals (green), white petals, yellow stamen and central carpel.

Figure 4 represents a flower of *Arabidopsis thaliana* phenocopy eng-AP3. A single sepal has been removed frontally, two neighbouring normal sepals are slightly displaced left and right to allow insight into the second and third floral whorls. Petals and stamen are obviously replaced by sepaloid organs close to the central carpel and small filamentous structures, one visible at the bottom between the two sepaloid petals.

Figure 5 represents a eng-STM construct.

Figure 6 represents a eng-AP3 construct.

## EXAMPLES

### Example 1 : Construct eng-STM

#### Creation of pRT $\Omega$ eng

The cDNA clone that is used is D<sub>2</sub>B clone as described in Poole et al, 1985. This clone was obtained by inserting a 2 kb EcoRI fragment of the engrailed cDNA in a pEMBL vector. The engrailed cDNA sequence is identical



to the data base entry (Genbank Access. M 10017) except for the lack of the 3' end downstream the EcoRI site gaattc, i.e the lack of the 3' end from nucleotide 2014 included.

From the cDNA clone D<sub>2</sub>B clone a 929 Bp AflIII-BamHI fragment was isolated and inserted into NotI/BamHI cleaved pRT $\Omega$ Not-Asc vector. Compatibility of the NotI/AflIII sites was achieved by fill in reaction with Klenow enzyme. The cDNA fragment covers the natural translation start and 298 amino acid residues of the engrailed protein. The BamHI site or a following XbaI site can be used to create translational fusions to proteins of interest.

The construct obtained was pRT $\Omega$ eng.

The pRT $\Omega$ Not-Asc is described in Überlacker & Werr et al, (1996). It is a derivative of pRT100 (Töpfer et al, (1987) and contains the CaMV 35S promoter and a polyA signal of Cabb B.

#### ENG-STM cloning strategy

The sequence of the *STM* coding region that is used is disclosed in Long et al, 1996 and is identical to the database entry (Genbank – Access U32344).

To fuse the *STM* coding region to the engrailed repressor domain the frame of the unique XbaI cloning site in pRT  $\Omega$ eng had to be shifted which was achieved by inserting a GATCTCGA adaptor into the upstream BamHI site, which was destroyed. The *STM* coding region previously amplified by reverse transcriptase PCR from Arabidopsis RNA with an 5' terminal XbaI (upstream the translation start ATG) was inserted into the XbaI site of the adapted pRT  $\Omega$ eng. The *STM* coding sequences used contain a BamHI site immediately preceeding the natural translation stop codon, which was used subsequently to create the c-terminal GR fusion.

#### ENG-STM without homeodomain (HD)

To confirm the crucial role of the DNA-binding homeodomain of the *STM* coding region, this homeodomain was removed in an independent experiment. For that purpose, the plasmid pRT $\Omega$  eng-STM was first partially

digested with HindIII, secondly to completion with BamHI and ends were ligated after fill in reaction with Klenow enzyme.

5

### **Example 2 : Construct eng-AP3**

#### **ENG-AP3 cloning strategy**

10 The sequence of the AP3 coding region that is used is disclosed in Brockman et al, 1992 and is identical to the data base entry (Genbank – Access – D21125).

15 The AP3 cDNA clone coding region is fused to a myc epitope at its carboxy-terminus. The protein coding region including the myc epitope was amplified by PCR with primers adding terminal BamHI sites. The resulting BamHI fragment was inserted into the BamHI site of pRTΩeng. This construct was designated as pRTΩeng-AP3.

### **Example 3 : Construct eng-STM-GR**

20

#### **ENG-STM-GR**

For fusion of the chimeric eng-STM polypeptide with the GR domain (Lloyd et al, 1994) a XhoI site in front of the TMV Ω leader was converted into a BamHI site. The eng-STM coding region was then inserted into pBI-ΔGR (Simon et al, 1996 ; Schena et al, 1991) as a BamHI fragment.

25 The artificial BamHI site (see above) in front of the natural STM STOP codon fuses the eng-STM polypeptide in frame with the GR domain.

### **Example 4 : Obtention of transgenic plants**

#### **A – *Arabidopsis thaliana***

30

Both constructs, ENG-STM and ENG-AP3, were inserted into pGPTV-Bar Asc (Überlacker et al, 1996) by use of the AscI sites flanking the expression cassette and subsequently transferred into *Agrobacterium tumefaciens*, GV3101. Infiltration of *Arabidopsis* immature inflorescences

followed the protocol of Bechtold et al, (1993) with minor modifications, as follows :

A single *A. tumefaciens* colony was grown at small scale (5 ml) in liquid YEB medium (5 g beef extract, 5 g saccharose, 1 g yeast extract, 1 g bacto-tryptone, 2 mM MgSO<sub>4</sub> per liter) at 28° C for 48 hours. From this preculture 0.1 ml each are used to inoculate 4 x 500 ml LB medium (5 g yeast extract, 10 g bacto-tryptone, 10 g NaCl per liter) which are grown with vigorous shaking for 16 hours at 28°C. Bacteria are pelleted at 500 x g and resuspended in infiltration medium (50 g saccharose, 0.2 ml silvet per liter) to OD<sub>600</sub> = 0.8. Twenty plants were infiltrated for each constructs. Immature Arabidopsis inflorescences (ecotype Columbia) are therefore inserted upside down into the bacterial suspension in infiltration medium. Vacuum is produced with an oil pump for 5 minutes and released rapidly, this step is repeated 3 times. Subsequently, plants are transferred to the green house until maturation and seed harvest. Seeds are vernalized and transgenic progeny selected for BASTA (eng/stm-GR; kanamycin) resistance.

As shown on figures 1 to 4, the phenotypes observed upon expression of eng-STM and eng-AP3 are those expected. Excess of chimeric repressor transcription factor fusions can efficiently displace the native gene products from target genes. The plants transformed with the eng-STM ( $\Delta$ HD) construct (without the homeodomain of the *STM* coding region) present a wild-type phenotype, which shows that DNA-binding is crucial for the target gene to be blocked. Although expressed from a constitutive promoter both chimeric repressors eng-STM and eng-AP3 are obviously recruited by either DNA sequence specificity, protein-protein interactions or both to their natural target genes which are repressed resulting in the phenocopy of the respective loss of function mutant phenotype.

The flowers from the plants transformed with the eng-AP3 construct are male sterile.

In order to identify the chimeric eng-AP3 protein in the cell, one could advantageously use the Myc epitope, which would be helpful to study for example whether the chimeric protein is expressed, whether it is cytoplasmatic

or nuclear, whether it forms a heterodimer with the pistilata gene product or whether nuclear import is dependent on pistilata.

5

### **B – Maize**

Genetic transformation of maize, whatever the method (electroporation, Agrobacterium, microfibres, particle gun) generally involves the use of an undifferentiated cells in rapid divisions that can still regenerate into a complete plant. This type of cells constitutes the embryogenic callus (of type II) of maize. Such callus is obtained from immature embryos having the genotype HI II or A188 x B73 according to the method and the media described in Armstrong et al, 1994, and may be multiplied and maintained by successive prickings every two-weeks on the initiation medium.

Plants are regenerated from these callus by modifying the hormonal and osmotic equilibrium of the cells according to the method described by Vain et al, 1989.

These plants are then acclimated in greenhouse where they can be crossed or self-pollinated.

A method of genetic transformation leading to a stable integration of the modified genes in the genome of the plant is used. This method involves the use of a gene gun. The target cells are fragments of callus having a surface area of 10 to 20 mm<sup>2</sup>. Four hours before bombarding, the fragments are laid in the center of a Petri dish containing a culture medium identical to the initiation medium, further containing 0.2 M of mannitol + 0.2 M of sorbitol (16 fragments per dish). Tissues are then bombarded as previously described. The dishes are then sealed using Scellofrais® and cultivated in dark at 27°C. The first planting is effected 24 hours afterwards, then every two-weeks during three months in a medium identical to the initiation medium but containing a selective agent. The selective agents may be for example active ingredients of herbicidal agents (Basta®, Round up®) or antibiotics (hygromycin, kanamycin).

After three months or sometimes sooner, callus that have not been inhibited by the selected agents, develop. These are usually composed of cells resulting from the division of a cell having integrated in its genome one or

more copies of gene of interest. The frequency of obtaining such callus is about 0.8 callus per bombarded dish. Callus are identified, individualized, amplified and cultivated so as to regenerate plants. In order to avoid any interference with non transformed cells, all these steps are effected in culture media that contain the selective agent. The regenerated plants are acclimated and cultivated in the greenhouse where they can be crossed or self-pollinated.

In an other embodiment, the transformation of plants may be carried out with *Agrobacterium tumefaciens* and immature embryos, as described by Ishida et al, (1996).

#### **Example 5 : Construction of the chimeric Tet-eng repressor and obtention of transgenic plant**

##### **1. Construction of the chimeric Tet-Eng repressor :**

To construct the chimeric Tet-eng repressor, an SphI site was created at the natural eng translation start ATG (CCAATG converted to CGTACG) and a SphI BamHI fragment covering the 298 amino acid residues of the eng protein was used to replace the VP16 activation domain in the binary vector pTetVP16 (Weinmann et al, 1994) resulting in the Tet repressor carboxy-terminally fused to the eng repressor domain (Tet-eng).

The modified binary vector is used for transformation of *Arabidopsis thaliana* via *Agrobacterium* mediated gene transfer. Transgenic plants are selected for Kanamycin resistance.

##### **2. Insertion of Tet operator sequences into the CaMV 35S promoter :**

To test repression of Tet-eng Tet operator (*italics*) sequences were placed upstream the TATA box into the CaMV 35S promoter at positions not overlapping with known regulatory elements. Promoter sequences between the EcoRV site (-90) and the XhoI site(+2) in the 35S promoter were therefore replaced by the following synthetic sequences:

ATCTCCACTGACGTAAGGGATGACGCACAATCCCACTAGTCTTCGCAAGA  
CCCTTTACATCACTCTATCAGTGATAGAGTTATGTTAACACTCCCTATCAG  
TGATAGAGATCTGTATATAAGGCCTTTCTAAGACATTTGC.

5 The chimeric CaMV promoter is fused to the GUS  $\beta$ -  
glucuronidase gene coding region (CaMV-Top-GUS) and used for  
transformation of *Arabidopsis thaliana* via *Agrobacterium* mediated gene  
transfer. Transgenic plants are selected for Hygromycin resistance.

10 **3. Obtention of transgenic plant and activity test for the  
chimeric Tet-eng repressor :**

Plants expressing the Tet-eng repressor construct are crossed  
with (CaMV-Top-GUS) carrying reporter plants. Transgenic progeny carrying  
both transgenes, Tet-eng and CaMV-Top-GUS, are selected for Kanamycin  
and Hygromycin resistance. The GUS expression pattern in presence of the  
15 chimeric Tet-eng repressor is compared to plants carrying only the CaMV-Top-  
GUS reporter construct.

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### CLAIMS

1. A chimeric DNA construct comprising at least one  
5 repressor sequence in transcriptional fusion with at least one plant-specific  
sequence that codes for a protein or fragment thereof that binds to DNA or that  
activates transcription either by binding to DNA itself or by interacting with a  
DNA-binding protein ;  
said repressor sequence being operably linked to elements allowing the  
10 transcription of said fused sequences.

2. A chimeric DNA construct according to claim 1, wherein  
said repressor sequence is at least the repressor domain of the *Drosophila*  
*engrailed* gene (*eng*).  
15

3. A chimeric DNA construct according to claim 1, wherein  
said repressor sequence encodes for at least the Kruppel-associated box-A  
(KRAB-A) domain of zinc finger proteins, for at least the RE-1-silencing  
transcription factor (REST), or for at least the BTB (for Broad-complex Tramtrac  
and Bric) domain, also known as POZ-domain.  
20

4. A chimeric DNA construct according to any of claims 1 to 3,  
wherein said plant-specific sequence codes for at least a DNA-binding domain  
of a plant transcription factor.  
25

5. A chimeric DNA construct according to claim 4, wherein  
said transcription factor is selected from the group consisting of STM, AP3,  
ZmHox, Ms-41-A and Zm41-A.

6. A chimeric DNA construct according to any of claims 1 to 5,  
30 further comprising a sequence that encodes at least the hormone-binding  
domain of a steroid hormone receptor, said sequence being in frame with the  
fusion construct consisting of at least one repressor sequence in transcriptional

fusion with at least one plant-specific sequence that codes for a protein or fragment thereof that activates transcription either by binding to DNA itself or by interacting with a DNA-binding protein ;

whereby the nuclear localization of the chimeric protein, that is the product of the translation of said fusion construct is dependent on the application of said steroid hormone or analogues thereof.

7. A chimeric DNA construct according to claim 6, wherein said hormone-binding domain of steroid hormone receptor is the hormone-binding domain of a glucocorticoid receptor.

8. A chimeric DNA construct according to any of claims 1 to 7, wherein the elements allowing the transcription of said repressor sequence comprise a constitutive promoter.

9. A chimeric DNA construct according to any of claims 1 to 3 wherein said plant-specific sequence is at least one bacterial sequence that codes for a Tet or Lac DNA-binding protein.

10. A host cell transformed with a DNA construct according to any of claim 1 to 9.

11. A transgenic plant or parts thereof, said plant being transformed with a DNA construct according to any of claims 1 to 9, or deriving from a plant initially transformed with a DNA construct according to any of claims 1 to 9.

12. A method for obtaining a transgenic plant according to any of claims 10 or 11, wherein a DNA construct according to any of claims 1 to 9 is transferred and expressed in a plant cell and said cell is cultured under conditions for regenerating a whole transgenic plant.

13. Use of a chimeric DNA construct according to any of claims 1 to 8 for inhibiting the expression of a target gene in the genome of a plant, the transcription of which is activated by a protein encoded by a plant-specific sequence as defined in any of claims 1 to 8.

5

14. A method for determining the function of a transcription factor in plants, comprising the steps of:

i) fusing a sequence encoding said transcription factor to a repressor sequence to form a DNA construct as defined in any of claims 4 to 8 ;

10

ii) transforming plant cells with said DNA construct;

iii) culturing the plants obtained from the transformed cells and observing a phenocopy of a mutation correlated with the loss of expression of genes controlled by said transcription factor.

15

15. A method for identifying new genes in plants, comprising the steps of :

i) obtaining transgenic plants transformed with a chimeric DNA construct according to any of claims 1 to 5 ;

20

ii) comparing the RNA population from said transgenic plants with the RNA population of a plant that has not been transformed with a chimeric DNA construct according to any of claims 1 to 5, by amplifying the RNAs repressed by expression of the chimeric DNA construct, identified as genes inactive in said transgenic plants obtained in step i) but active in the plant that has not been transformed with a chimeric DNA construct.

25

16. A method for identifying new genes in plants, comprising the steps of :

i) obtaining transgenic plants transformed with a chimeric DNA construct according to claims 6 or 7, comprising a sequence that encodes at least the hormone-binding domain of a steroid hormone receptor, and/or according to claim 8, comprising an inducible promoter ;

30

ii) submitting said transgenic plants to an induction by means of a steroid hormone or analogues thereof and/or promoter inducer, whereby a phenocopy is created due the loss of expression of target genes ;

5       iii) comparing the RNA populations from said transgenic plants before and shortly after induction, by amplifying the RNAs repressed by expression of the chimeric DNA construct, identified as genes active before but inactive after induction.

10       17. A method for blocking or allowing the expression of a target gene comprising the steps of :

i) obtaining a transgenic plant containing

a) at least one DNA motif in the promoter of a target gene, said DNA motif being specifically recognized by a bacterial Tet or Lac DNA-binding protein or DNA-binding fragment thereof ; and

15       b) a chimeric DNA construct according to claim 9 ;

ii) submitting the plant obtained in step i) or a transgenic plant deriving from said plant with tetracycline or IPTG, whereby the expression of the target gene is allowed ; or culturing said plant in the absence of tetracycline or IPTG, whereby the expression of the target gene is blocked.

20

**RHOBIO**

A method for inhibiting the expression of target genes in plants

**Abstract**

This invention concerns a method for inhibiting the expression of target genes in plants wherein a chimeric DNA construct comprising at least one repressor sequence in transcriptional fusion with at least one plant-specific sequence that codes for a protein or fragment thereof that bind to DNA or that activates transcription either by binding to DNA itself or by interacting with a DNA-binding protein.

Fig. : none





1, 3

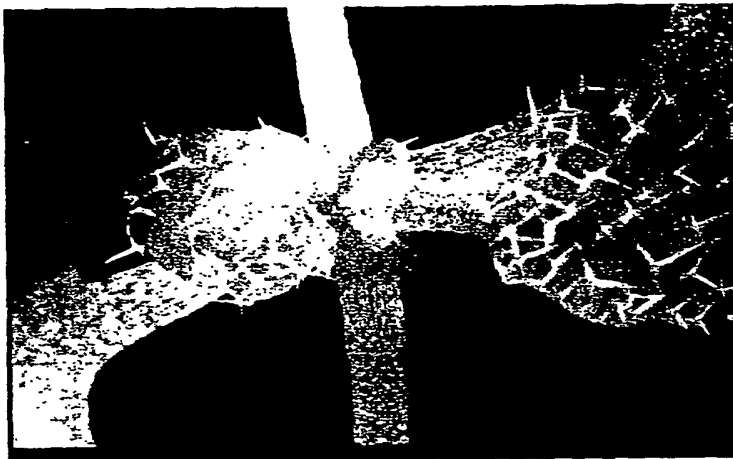


FIG. 1

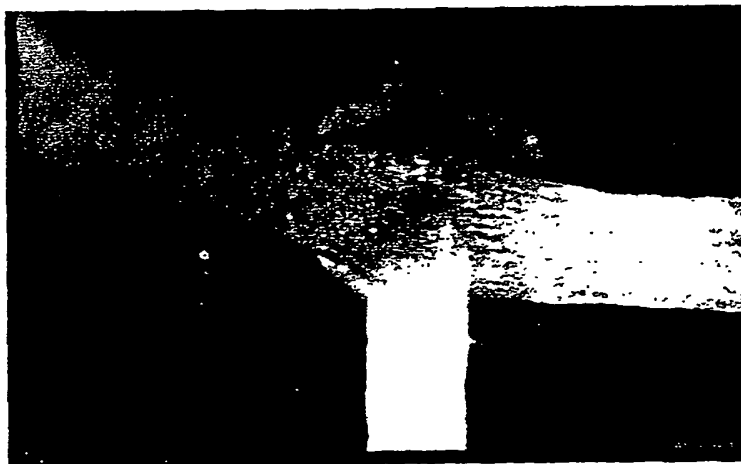


FIG. 2

2/3

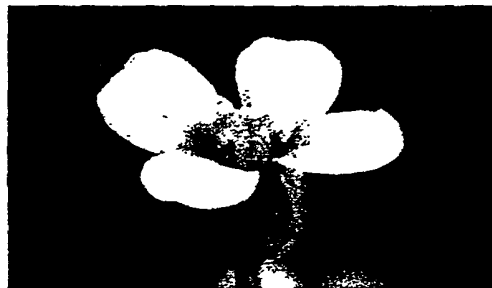


FIG. 3

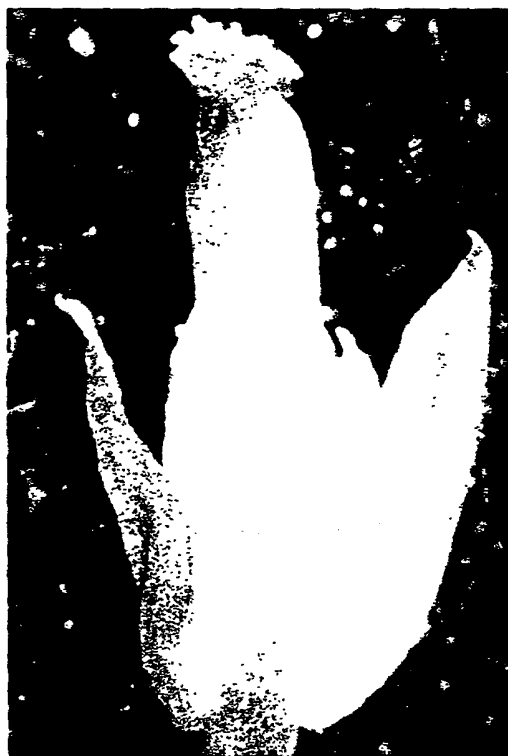


FIG. 4

3/3

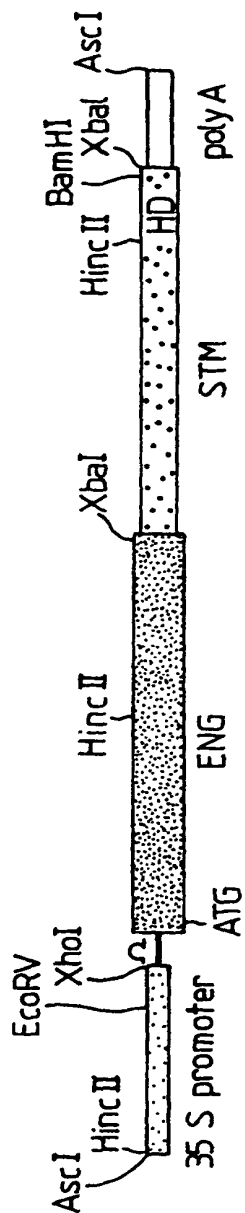


FIG. 5



FIG. 6

## SEQUENCE LISTING

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in plants

<130> PH99004

<140>

<141>

<160> 1

<170> PatentIn Ver. 2.1

<210> 1

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<213> Artificial Sequence

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<223> Description of Artificial Sequence:synthetic  
sequence that contains Tet operator motifs as  
shown in Example 5.

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aggcctttct aagacatttg c                                     141
```